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Yuanta Won Financial Holdings CSR Award from *Wealth Magazine*, the Group Received 6 Major Awards in Taiwan Financial Awards

Yuanta Group's implementation of ESG (Environmental, Social, and Corporate Governance) sustainability management has been highly recognized by both domestic and international organizations and won six awards in *Wealth Magazine's* "2021 Taiwan Financial Awards" today (10/14). Yuanta Financial Holding Company (FHC) received the "Financial Holdings Corporate Social Responsibility (CSR)" premium award, Yuanta Securities received the "Best Financial Technology (FinTech) Securities" and "Best Brokerage Services" gold awards and the "Best Brokerage Customer Recommendation" premium award, and Yuanta Funds received the "Best Fund Company" gold award and the "Best Domestic Fund Company Customer Recommendation" premium award.

Yuanta FHC: Financial Holdings CSR Premium Award

Yuanta FHC actively incorporates ESG concepts into its operational strategies, in line with the sustainability DNA of the Yuanta Group. In addition to deepening its financial business, Yuanta FHC continues to practice environmental sustainability, social welfare, corporate governance, customer rights, and employee care, and implements sustainable corporate development through the promotion of various ESG action plans. Yuanta FHC strives to become the "Best Financial Services Provider in Asia Pacific," and to follow the international trend and establish a long-term management and service model, steadily moving forward with the goal to be an international benchmark enterprise for sustainability. Yuanta FHC received excellent results in the four categories of "Corporate Governance," "Social Relationship," "Green

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Environmental Protection,” and “Sustainable Finance” in the Taiwan Financial Awards, and was awarded the “Financial Holdings CSR” premium award.

Yuanta Securities: Best FinTech Securities Gold Award, Best Brokerage Services Gold Award, and Best Brokerage Customer Recommendation Premium Award

Yuanta Securities is committed to providing innovative and high-quality financial services to investors. Through the “Customer Care Program,” it proactively informs investors of market changes and reviews the status of treasury holdings, which is highly appreciated by investors. In terms of financial innovation, Yuanta Securities has assembled a team of experts in various fields to create a “Strategy Trading Platform,” which provides investors with multi-sided, short-sided, and medium-to-long-term strategy packages. Investors can customize the conditions and parameters of the strategy based on their own investment experience and habits, and adjust the strategy direction at any time after backtesting data. The “Strategy Trading Platform” can also monitor the stocks during the trading session and proactively push out notifications when the strategy conditions are triggered, allowing investors to grasp the entry and exit points in real time. Through the cross-platform cloud service, investors can get a consistent operating experience on both computers and cell phones and grasp investment opportunities at any time.

Yuanta Funds: Best Fund Company Gold Award and Best Domestic Fund Company Customer Recommendation Premium Award

Yuanta Funds’ ESG performance in terms of operational performance, risk management, investment research team, and customer service has been recognized by the jury of the Taiwan Financial Awards this year, and has won the “Best Fund Company” gold award for the third consecutive year. Starting

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from 2019, Yuanta Funds leads the domestic industry in launching ESG-themed ETFs (Yuanta Taiwan ESG Sustainability ETF), 5G-themed ETFs (Yuanta Global Future Communications and Yuanta Future Key Technology) and Taiwan stock funds (Yuanta Taiwan High Dividend Quality Leader Fund) that combine monthly dividend distribution, year-end distribution, and income parity mechanisms. Yuanta Funds' quality brand image is deeply rooted in people's hearts, and this time, it also won the "Best Domestic Fund Company Customer Recommendation" premium award.



Photo: Implementing corporate sustainability, the Yuanta Group won six awards at the Taiwan Financial Awards.

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【Wealth Magazine's Taiwan Financial Awards】 Yuanta Group Award List

Company	Award Name
Yuanta FHC	Financial Holdings CSR Premium Award
Yuanta Securities	Best FinTech Securities Gold Award
	Best Brokerage Services Gold Award
	Best Brokerage Customer Recommendation Premium Award
Yuanta Funds	Best Fund Company Gold Award
	Best Domestic Fund Company Customer Recommendation Premium Award